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PATENT  
#23

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
**John C. Harvey and James W. Cuddihy:**

Group Art Unit: 2737

Serial No.: 08/479,215

Examiner: FAILE, A.

Filed: June 7, 1995

Attorney Docket: 05634.0358

For: **SIGNAL PROCESSING APPARATUS  
AND METHODS**

**ATTN: APPLICATION PROCESSING DIVISION,  
SPECIAL PROCESSING & CORRESPONDENCE BRANCH**

Commissioner for Patents and Trademarks  
Washington, D.C. 20231

RECEIVED

JAN 4 2001

OFFICE OF PETITIONS

**NOTIFICATION OF CHANGE IN STATUS UNDER 37 C.F.R. § 1.28(B) AND  
PAYMENT OF FEE DEFICIENCIES UNDER 37 C.F.R. § 1.28(C)**

Sir:

Upon review of the claims as filed in the above-referenced application and the "field of use" clause in the application's assignee's license contract with a firm that is a large entity, it has come to the applicant's attention that the present application requires large entity status.

Pursuant to 37 C.F.R. § 1.28(b), Attorneys for Applicants hereby provide notification that Personalized Media Communications, L.L.C. ("PMC"), the assignee of the above referenced application Serial No. 08/479,215 does not qualify for small entity status under M.P.E.P. § 509.02 and 37 C.F.R. § 1.9 for paying reduced patent fees for the above referenced application and that the claim for small entity status for the above-referenced application Serial No. 08/479,215 is hereby withdrawn.

Enclosed herewith is assignee's check no. 3281 for the recalculated fees of \$830.00 to convert the above-referenced application from small to large entity status in accordance with 37 C.F.R. § 1.28(c). The following table identifies each of applicant's

01/04/2001 SLUANG1 00000044 08479215

01 FC:101	710.00 OP
02 FC:117	890.00 OP
03 FC:699	60.00 OP

Adjustment date: 01/08/2001  
01/04/2001 SLUANG1 00000044 08479215  
03 FC:699 -60.00 OP  
01/08/2001 LGIBBS 00000042 08479215  
01 FC:197 60.00 OP

submissions to the Patent and Trademark Office ("PTO") necessitating the enclosed payment and the amount to bring the application in conformity to large entity status.

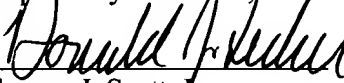
Description of Submission to PTO	Amount Deficient
New application filing:	\$365.00
Preliminary amendments:	
Response to first action new claim fees:	
Response to first action extension of time:	\$465.00
Response to second action new claim fees:	
Response to second action extension of time:	
Response to final action new claim fees:	
Response to final action extension of time:	
1.129(a) submission:	
<b>TOTAL</b>	<b>\$830.00</b>

Applicants respectfully request the records of the PTO be revised to indicate that the above-referenced application should now be considered the application of a large entity.

The Commissioner is hereby authorized to charge or credit the deposit account of **Hunton & Williams**, Deposit Account No. **50-0206**, for any insufficient funds or overpayments in connection with the filing of the instant papers.

Date: December 29, 2000  
**HUNTON & WILLIAMS**  
1900 K Street, N.W.  
12<sup>th</sup> Floor  
Washington, D.C. 20006

Respectfully submitted,

  
Thomas J. Scott, Jr.  
Reg. No. 27,836  
Donald J. Lecher  
Reg. No. 41,933  
Attorneys for Applicants  
Tel: (202) 955-1938

# Transaction List

Search by

☐ Accounting Date: (MMDDYY)

Operator ID:

Accounting Date

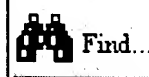
Starts:

Ends:

☒ Name/Number: 08479215

☐ Attny Docket No:

☐ Deposit Account No:



Accounting Date	Operator ID	Seq No	Txn Src	Fee Code	St	Amount	Name/Number	Dep Acct
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05/26/2000	TRIDDICK	3	SALE	103	A	72.00	08479215	
02/10/1999	MFLETCH	6	SALE	118	A	1,360.00	08479215	
02/10/1999	MFLETCH	5	SALE	146	A	760.00	08479215	
07/08/1998	TLUU11	9	SALE	116	A	400.00	08479215	
07/08/1998	TLUU11	8	SALE	119	A	310.00	08479215	
08/01/1997	SCARMICH	50	SALE	217	A	465.00	08479215	
04/19/1996	140AA	3301	CRDA	202	A	39.00	08479215	
07/07/1995	250VB	28701	CRDA	201	A	365.00	08479215	



Adjustment Detail

Authorization Detail



Start

Client Manager

Welcome to the ...

Fee Collection

Transaction List



3:04 PM

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Adjustment date: 01/04/2001 SLUAN61  
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